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# Methods for community-engaged data collection and analysis in implementation research

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# **Abstract**

**Background** Community engagement is widely recognized as critical to successful and equitable implementation of evidence-based practices, programs, and policies. However, there are no clear guidelines for community involvement in data collection and analysis in implementation research.

**Methods** We describe three specific methods for engaging community members in data collection and analysis: concept mapping, rapid ethnographic assessment, and Photovoice. Common elements are identified from a case study of each method: 1) selection and adaptation of evidence-based strategies for improving adolescent HPV vaccine initiation rates in disadvantaged communities, 2) strategies for implementing medication for opioid use disorders among low-income Medicaid enrollees during natural disasters, and 3) interventions to improve the physical health of adults with severe mental illness living in supportive housing.

**Results** In all three cases, community members assisted in participant recruitment, provided data, and validated preliminary findings created by researchers. In the Photovoice case study, community members participated in both data collection and analysis, while in the concept mapping, community members also participated in the initial phase of organizing and prioritizing evidence-based strategies during the data analysis.

**Conclusions** Community involvement in implementation research data collection and analysis contributes to greater engagement and empowerment of community members and validation of study findings. Use of methods that exhibit both scientific rigor and community relevance of implementation research also contributes to greater community investment in successful implementation outcomes. Nevertheless, the case studies point to the importance and efficiency of the division of labor embedded in community-engaged implementation research. Building capacity for community members to assume greater roles in obtaining and organizing data for preliminary analysis prior to interpretation is recommended.

**Keywords** Community engaged research, Data collection, Data analysis, Concept mapping, Rapid ethnographic assessments, Photovoice

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# **Contributions to the literature**

- Guidelines exist for conducting community engaged research but provide no clear instructions for community involvement in data collection and analysis.
- This paper examines the potential of community engaged data collection and analysis in implementation research through case studies of concept mapping, rapid ethnographic methods, and Photovoice.
- Community involvement in implementation research data collection and analysis contributes to greater engagement and empowerment of community members, validation of study findings, and commitment to successful implementation outcomes.

# **Background**

Community-engaged research (CEnR) is an approach for conducting research that requires development of partnerships, cooperation, negotiation, compromise, and a commitment to addressing health issues that are of interest to, and affect the well-being of, communities defined by geographic proximity, sociodemographic characteristics, or special interests [1-4]. Ideally, community input is incorporated in all aspects of the research, from development of the research question, implementation of the research project, and analysis of the results, to the dissemination of the findings to community partners. The focus of CEnR is on addressing health care needs identified by the community itself as priorities [3-6], health disparities [7-11], and the social determinants of health. It does so by a distribution of responsibilities and benefits to researchers and community members.

Community-engaged dissemination and implementation research (CEDI) emphasizes engaging health services consumers, practitioners, policymakers, community organizations, and other community members with diverse perspectives, experience, and expertise regarding local context and circumstances that are likely to hinder or facilitate the implementation of evidence-based practices intended to improve health and well-being of all community members [4, 11–18]. Community engagement can be viewed as a strategy [19] and as a determinant [20, 21] of successful implementation and sustainment of programs, practices and policies designed to promote health equity [22].

To date, the literature has focused primarily on the characteristics of CEnR in general, such as shared responsibility, and in conducting specific research activities such as identifying the research question and disseminating the results. In contrast, relatively little has been written about community engagement in two critical

components of conducting research: data collection and analysis. Several studies have noted the role of community partners in developing data collection tools [11, 23] and interpretating qualitative findings through member checking [24, 25]. Nevertheless, how these activities may be conducted in a manner that is both scientifically rigorous and relevant to community needs remains poorly understood.

Using a multiple case study approach [26], we describe three methods for eliciting the participation of community members in the collecting and analyzing of data reflecting the principles of CEnR that relate to the implementation of innovative and evidence-based interventions and practices.

#### **Methods**

We selected three established methods that involve community members in data collection and or data analysis: concept mapping, rapid ethnographic assessment, and Photovoice. These methods were selected based on our own experience with their use [27-29] and because they embody three of the core principles of communityengaged research [3, 4, 9, 30, 31]. First, they engage people with intimate knowledge of the setting in data collection or data analysis. Second, they enhance the validity of data and its interpretation. A team approach that involves academic researchers and community members can provide insight necessary to support internal and external validity of data (multiple observers). The validity of data obtained from interviews or focus groups is enhanced through triangulation with other qualitative data, e.g., ethnographic data, or quantitative data (multiple data sources). Further, qualitative data complement quantitative data by providing rich information on setting or context (depth vs breadth). Third, all three methods empower participants by giving them agency to contribute to, and investment in success of, implementation efforts, and by giving both researchers and community members the opportunity to learn from one another.

Our analysis of the application of these three methods occurred in the following steps. First, we reviewed the methods sections of publications describing each method [27–29], along with notes taken from team meetings that occurred during the conduct of each study. This information included procedures for data collection and analysis, including who was involved in each activity, what was done, and how the validity and reliability of each activity was assessed. Codes were assigned to each of these items. Second, using the method of constant comparison [32], we grouped activities into discrete categories of participants (e.g., academic researchers, community members), process (e.g., observations, pile-sorting tasks), outcomes (e.g., themes, rank orders), strengths and limitations.

Third, we compared these categories to identify those that were consistent across the three methods and those that were specific to each method.

# **Results**

#### Concept mapping

Concept mapping is a structured conceptualization process and a participatory research method that yields a conceptual framework for how a group views a particular topic or aspect of a topic [33]. It uses inductive and structured group data collection processes to produce illustrative maps depicting relationships of ideas as clusters of topics, constructs or elements. Concept mapping involves six steps: preparation, generation, structuring, representation, interpretation, and utilization [34]. In the preparation stage, focal areas are identified and criteria for participant selection/ recruitment are determined. In the generation stage, participants address the focal question during "brainstorming" sessions and generate a list of items to be used in subsequent data collection and analysis. In the structuring stage, participants independently sort the items into piles based on perceived similarity. Each item is then rated in terms of its importance or usefulness to the focal question. In the representation stage, data are entered into specialized concept-mapping computer software (Groupwisdom<sup>™</sup>) [35], which generates quantitative summaries and visual representations or concept maps, based on multidimensional scaling and hierarchical cluster analysis. In the interpretation stage, participants collectively review the concept maps, assessing and discussing the cluster domains, evaluating items that form each cluster, and discussing the content of each cluster. Finally, in the utilization stage, findings are discussed to determine how best they inform the original focal question [36].

Concept mapping has been employed in several implementation research investigations [25, 37–41]. For instance, in collaboration with county mental health officials, agency directors, program managers, clinicians, administrative staff, and parents of children receiving mental health services, Aarons and colleagues [37] used concept mapping to identify clusters of barriers and facilitators to implementation of evidence-based mental health services for children and adolescents. Gullahorn and colleagues [25] engaged consumers and providers in a concept mapping study of barriers and facilitators to initiation and sustainment of Medication for Opioid Use Disorders. Gobin and colleagues [30] used concept mapping with 48 policy actors, healthcare practitioners and civic society representatives to co-develop a list of perceived actionable priorities for the implementation of a health advocate training intervention to facilitate access to primary care among vulnerable communities.

#### Case study

In an NIH-funded study, Tsui and colleagues [27] conducted a concept mapping exercise to facilitate the engagement of diverse stakeholders in prioritizing and selecting evidence-based strategies (EBS) for increasing HPV vaccination in medically underserved communities. The concept mapping was conducted in collaboration with 10 clinic members (providers, clinic leaders, and clinic staff) and 13 community members (advocates, parents, policy-level, and payers) in Los Angeles and New Jersey drawn from a purposively selected sample who participated in a preliminary series of virtual semi-structured interviews and focus group discussions designed to elicit their perspectives on and experiences with HPV vaccination in safety-net primary care settings [42].

Researchers initially generated 20 pre-specified statements describing EBS for HPV vaccination from existing national sources and guidelines and identified 20 additional emerging strategies from the qualitative data collected in the preliminary study. The compiled statements were then reduced by eliminating duplicate strategies. The final 38 statements were sent to HPV vaccine community partners and advocates for review and further distillation.

Community members who agreed to participate were asked to complete two phases of concept mapping: 1) sorting and rating and 2) interpretation. Participants pile sorted statements according to their meaning and similarity with the meanings of other statements in the same pile. Each pile was given a name by the participant that described its contents. Participants then rated each statement by importance and feasibility for increasing HPV vaccination in their organization or region on a 4-point scale.

Using the Groupwisdom<sup>™</sup> [35] concept mapping platform, researchers characterized how the named piles were clustered by participants. A point map was generated to position each EBS for HPV vaccination on a twodimensional map with four poles where strategies located close to each other carried a similar meaning and elements further apart were less related. A similarity matrix was created to examine overall prioritization of EBS as well as configurations for specific participant groups. The analysis produced weighted and unweighted cluster maps, ladder graphs, and go-zone maps (e.g., most important and most feasible strategies). The research team compared maps of seven, eight, and nine clusters before reaching consensus that creating an additional cluster would not improve the meaningfulness of the data and deciding to use the map of eight clusters.

All participants who completed the sorting and rating phases of concept mapping were subsequently contacted to participate in a one-hour virtual group interpretation meeting on the Zoom platform. Participants received a handout with preliminary findings via email, which included the eight-cluster map, ladder graphs, and gozone map comprised of all responses in aggregate. Participants then were asked to reflect and share their feedback on the concept mapping activity results using a discussion guide that focused on the following: 1) overall thoughts about the eight clusters that resulted from the sorting activity, 2) reactions to the relative ratings for importance and feasibility of strategy clusters, and 3) thoughts on how the go-zone map aligned with their organizations' current approaches for HPV vaccination. The 60-min session was recorded and then transcribed by a third-party transcription service. Two research team members then read through the transcript and conducted a content analysis of overall themes, structured around the Practice Change Model [43] and key areas of divergence among participants, if any.

Concept mapping results were then shared with system leaders from a large multi-site federally-qualified health center (FQHC) system in Los Angeles and physician and clinic champions from three clinic sites within the FQHC. Clinic leaders and champions and research team members discussed strategies prioritized from concept mapping results as well as the current clinical context and strategies used within the FQHC and selected 8 strategies, which were finalized with physician champions and then implemented at each of the three clinics.

# Rapid ethnographic assessments

Another tool for community-engaged data collection and analysis in implementation research is rapid ethnographic assessments, also known as Rapid Assessment Procedures (RAP). Distinguishing features of RAP include: 1) formation of a multidisciplinary research team including a member or members of the affected community; 2) development of materials to train community members; 3) use of several data collection methods (e.g., informal interviews, newspaper accounts, agency reports, statistics) to verify information through triangulation; 4) iterative data collection and analysis to facilitate continuous adjustment of the research question and methods to answer that question; and 5) rapid completion of the project, usually in four to six weeks [44, 45].

Rapid assessment procedures have been used in formative and summative evaluation studies of healthcare organization and delivery [46–48]. RAP has also been used in conducting evaluations of program implementation [49–52]. For instance, Holdsworth and colleagues [53] used the rapid assessment approach to evaluate the

implementation of an intensive care unit (ICU) redesign initiative aimed at improving patient safety in four academic medical centers in the United States. Steps in their approach included 1) iteratively working with stakeholders to develop evaluation questions; 2) integration of implementation science frameworks into field guides and analytic tools; 3) selecting and training a multidisciplinary site visit team; 4) preparation and trust building for 2-day site visits; 5) engaging sites in a participatory approach to data collection; 6) rapid team analysis and triangulation of data sources and methods using a priori charts derived from implementation frameworks; and 7) validation of findings with sites. Martinez and colleagues [54] proposed to conduct a rapid ethnographic assessment during clinic site visits to collect information on potential barriers and facilitators to implementing measurement-based care to improve youth mental health outcomes in low resource settings.

A data collection and analysis protocol based on RAP principles designed specifically for implementation research is the Rapid Assessment Procedure-Informed Clinical/Community Ethnography (RAPICE), a methodological approach that combines clinical and/or community ethnography and rapid assessment procedures. Originally developed to meet the requirements for timeefficient data collection with minimal participant burden in pragmatic clinical trials [55], RAPICE was adapted for use in community settings to address implementation issues of importance to communities [56]. Both forms of RAPICE include an iterative, team-based approach to data collection and analysis, involving an interaction between ethnographically trained clinicians or community members who act as participant observers (PO) and clinically oriented social scientists and/or community members who act as external analysts [55].

RAPICE can be used to collect and analyze data to address important implementation science research questions, such as what factors act as barriers and facilitators to implementing a specific evidence-based policy, program or practice in a specific setting or context; and what strategies are associated with successful implementation [55]. RAPICE may also be used in conducting formative evaluations of implementation efforts, providing feedback that may be used to modify or supplement these efforts to increase the likelihood of successful implementation [57].

# Case study

In a study funded by the Louisiana Department of Health, Springgate and colleagues [28] employed a communitybased version of RAPICE to identify how environmental stressors such as hurricanes, floods, major storms, or the COVID-19 pandemic impacted the implementation of Medication for Opioid Use Disorders (MOUD) services for low-income Medicaid enrollees. Academic researchers and community partners concurrently assessed whether telehealth or other innovations in clinical services or coordination of care may be of value in improving implementation and resilience of evidence-based care practices for Opioid Use Disorders to reduce overdoses and improve health during episodes of increased environmental stress. Under the aegis of a community academic partnership, the Community Resilience Learning Collaborative and Research Network (C-LEARN), the Promoting Resilience to Opioid Use Disorders in Louisiana (PROUD-LA) study employed a communitypartnered participatory research (CPPR) framework [58, 59] to engage a diverse group of community leaders and researchers to co-lead study design, implementation, and analysis. C-LEARN was formed in 2017 to advance resilience in Southeast Louisiana communities threatened by climate change-related disasters and includes a collaboration of partners in health services delivery, public health, and community-based organizations such as churches, neighborhood associations, and social services providers [10].

Drawing on nominations from community members of C-LEARN's Leadership Council, a purposive snowball sampling design [60] was used to identify and recruit members of five groups of stakeholders with knowledge of MOUD in Louisiana: Medicaid members between the ages of 25 and 65 years receiving MOUD (n=17), advocates (n=2), healthcare providers and pharmacists (n=9), health care system administrators (n=10), and public health agency officials in Louisiana with experience with climate-related disasters (n=4). Participating stakeholders lived or worked in 22 parishes throughout the state of Louisiana. Members of each participant group were recruited for interviews until theoretical saturation was reached (i.e., no new information was obtained from participants) [61].

The PROUD-LA research team conducted virtual semi-structured interviews of participants between January and May 2023, following a guide that had been co-developed by members of the Leadership Council to reflect community knowledge, expertise, concerns, and priorities. The guide evolved iteratively to include a series of questions on six topical themes: 1) disaster planning and lessons from prior disasters; 2) Medicaid members' engagement with providers, pharmacies, or health services organizations during disasters; 3) challenges experienced by Medicaid members due to hurricanes, floods, major storms, or the COVID-19 pandemic; 4) healthcare providers' adaptations to these disaster events to ensure patient care; 5) use of telehealth during or following extreme weather events or COVID-19 pandemic surges;

and 6) the effects of fentanyl in Louisiana and the United States during these periods of increased environmental stress. Questions were tailored to the unique experiences and perspectives of each participant group. The approximately one-hour interviews were recorded and transcribed for analysis.

Consistent with CPPR and RAPICE principles and practice, the community and academic research team used a rapid analysis approach [62, 63] to summarize content from each interview and identify common themes across interviews. This approach included iterative, team-based reviews of selected transcripts to generate a summary template including neutral domains corresponding to each interview question and tailored to the variety of respondents (e.g., administrator, healthcare provider, patient). Once consistency in use of the template had been established, a pair of academic team members summarized all interview transcripts into standard templates under supervision of the study PIs. The summary templates included representative and illustrative quotations from respondents. Completed summaries were transferred to an Excel spreadsheet to facilitate response comparisons across respondents [64]. The team reviewed templated summaries and matrices to synthesize and identify variations in responses to interview questions and develop written memos to track emerging patterns in data. Preliminary findings were presented to community stakeholder-members of the C-LEARN Leadership Council to inform/clarify key themes and enrich descriptions. A discussion then ensued until academic and community team members reached consensus as to the meaning and significance of the data. In some instances, the Leadership Council recommended the combination of some of the preliminary subthemes to facilitate interpretation or requested further explanation of the significance of some of the subthemes identified in the researchers' preliminary analysis.

These partnered analyses demonstrated that prospective MOUD-specific disaster planning, flexible clinical procedures, and experience with telehealth to maintain contact and provide care are effective strategies to support implementation of MOUD treatment services during pandemic surges and climate-related extreme weather events. However, findings also highlighted several potential considerations for policies and practices of state Medicaid programs, managed care organizations, providers, and others to benefit members during hurricanes or major community stressors, including changes in Medicaid policies to enable access to MOUD by interstate evacuees, improvement of medication refill flexibilities, and potential incentivization of telehealth services to facilitate more systematic use [28].

#### **Photovoice**

Photovoice is a participatory methodology using photographic storytelling [65] where participants take pictures around their homes and communities depicting their lives as impacted by different health and social conditions and then use them for initiating dialog and advocating changes. Photovoice does not require any prior research or photography experience [66] and is adaptable across different groups and public health issues [65], making it ideal for use in low resource settings.

For the most part, Photovoice has been used primarily to design and evaluate interventions [67-69], and as an intervention itself (e.g., [70-72]). Photovoice has supported collaboration with Veterans, military families, and other key stakeholders to identify barriers to postdeployment care for those with traumatic brain injury and propose solutions for improving community reintegration after separation from military service [73, 74]. A few studies have also relied on this method to identify and develop strategies for implementing an intervention. For instance, Kohrt and colleagues [75] are conducting a type 3 hybrid implementation-effectiveness cluster randomized controlled trial in Nepal to evaluate the implementation-as-usual training for primary care providers (PCPs) compared to an alternative implementation strategy to train PCPs and facilitation by people with lived experience of mental illness (PWLE) and their caregivers using Photovoice. Brazg et al. [76] used the Photovoice methodology to engage high school youth in a community-based assessment of adolescent substance use and abuse. Youth were able to reflect their community's strengths and concerns with regards to adolescent substance abuse, as they took photographs to answer the question "What contributes to adolescents' decisions to use or not to use alcohol and other drugs?" This information was seen by the authors as critical to the successful development and implementation of prevention curricula.

#### Case study

Cabassa and colleagues [29] used Photovoice to engage a purposive sample of 16 English-speaking adults with severe mental illness (SMI; e.g., schizophrenia, bipolar disorder) to participate in a six-week program in which they would learn to take photographs in their communities and discuss issues of health and wellness in their everyday lives. This study was conducted in partnership with two supportive housing agencies in New York City and funded by the New York State Office of Mental Health. Agency staff recommended that study participants should also be participating in the agency's wellness programs (e.g., nutrition group) and/or have expressed interest in issues of health and wellness.

Weekly 90-min Photovoice groups were conducted over a 6-week period at each agency. During the first session, participants learned how to recruit community members, obtain permission to take their photograph if desired, and explain the purpose of the project and how the photograph would be used. Each participant was given a digital camera, instructed in its operation, and provided with the opportunity to practice taking photographs. Participants were then instructed to take photographs for the following session about what they did to stay healthy.

During sessions two to five, participants were directed to download the pictures they had taken for that session, pick one photograph that best represented the theme for that week, print the photo, and participate in a brief photo-elicitation interview conducted by researchers to discuss the meaning of the chosen photo. This was followed by a group dialogue about what the photographs showed and how they related to the life of the photographer. Dialogues were co-facilitated by members of the research staff and a peer leader. During the last 10 min of the session, participants voted and chose the theme for next week's photo-assignment.

An analytical working group composed of three research team members conducted all qualitative data analyses for this project. Several member-checking activities, such as presentations to the staff, consumers, and executive boards at each agency, community photoexhibits, and small group discussions with participants, were conducted to review emerging themes, receive feedback on preliminary interpretations of results, and validate study findings. Analysis trustworthiness and rigor was also ensured by generation of an audit trail consisting of analytical memos and meeting notes, prolonged engagement with participants, triangulation of visual and narrative data, and peer-debriefing sessions [77].

Pile sorting techniques [78] and the constant comparative method derived from grounded theory [32] were used by researchers to develop an integrated coding structure for the narrative and visual data. Five implementation themes were identified related to preferences for the format, content, and methods of health interventions. Community participants expressed a strong preference for using peer-based approaches to deliver health interventions in their housing agencies. The study demonstrated the value of Photovoice in engaging target population participants in implementation research and enabling them to represent and communicate their views of important implementation outcomes through images and narratives [29].

 Table 1
 Community member and researcher roles in implementation data collection and analysis activities by case study method

Activities	Concept Mapping	Rapid Ethnography	PhotoVoice
Overall Description	Community members review statements provided by researchers, sort and rank them according to similarity, importance and feasibility; and process results with researchers	Researchers and/or community members conduct interviews or engage in participant observation for a brief period and process information collected with a external researcher and/or community members	Community members take photos of things that relate to health and wellness in their community and process information collected with researchers
Implementation Aim	Assess determinants Select strategies	Assess determinants Select strategies	Assess determinants Select strategies
Provide knowledge to ensure rigor	Researchers	Researchers	Researchers
Provide knowledge to ensure relevance Data collection	Community members	Community members	Community members
Participant sampling and recruitment	Researchers and community members identified potential participants via snowball sampling Researchers recruited them into study	Community Leadership Council identified potential participants via snowball sampling Researchers recruited them into study	Community members (Housing agency staff) identified potential participants Researchers recruited them into study
Identify data to be collected	Researchers identify statements derived from interviews/ focus groups and national sources and guidelines Community members conduct member checking of statements	Community members identify topics for interviews and conduct member checking of questions	Researchers identify topics of photos Community members identify subjects of photos
Interview techniques	Semi-structured	Semi-structured	Semi-structured
Focus group techniques	Focus groups		Focus groups
Provide training in data collection	Researchers	Researchers	Researchers
Provide the data	Community members participate in interviews and focus groups and rate the statements	Community members interviewed by academic research team members	Community members take photographs of their communities
Receive the data	Researchers conduct interviews and facilitate focus groups	Researchers conduct interviews	Researchers conduct brief interviews and facilitate group dialogue
Data analysis			
Stage 1. Generate results			
Pile sort/ranking techniques	Community members	N/A	Community members
Visual representations of data	Generated by researchers	NA	Generated by community members
Stage 2. Coding and construction of themes	Researchers	Researchers	Researchers
Stage 3. Review of interpretation (member checking)	Community members not involved in methods	Community members (Community Leadership Council)	Community members not involved in method

**Table 2** Strengths and weaknesses of community engagement in implementation data collection and analysis by method

	Concept Mapping	Rapid Ethnography	PhotoVoice
Strengths			
Internal validity	Provided insight into implementation determinants and context and community priorities for strategy selection	Provided insight into implementation determinants and context and community priorities for strategy selection	Provided insight into implementation determinants and context and community priorities for strategy selection
Power dynamics	Researchers had control over what was colected and how it was analyzed community members had control over how the Researchers had control over how it was analyzed community members had control over how the Researchers had control over how it was analyzed	Community members had control over what was collected and how it was validated Researchers had control over how it was analyzed	Community members had control over what was collected and how it was validated Researchers had control over how it was analyzed
Weaknesses			
Threats to internal validity (Representativeness)		Inability to collect information on changes over time Limited depth	
Threats to external validity (Generalizability)	Limited Two locations No participation by parents	Limited One location	Limited Two housing agencies in one location
Fidelity to methodology	No brainstorming session	Community members not involved in data collection	Lack of funding prohibited participation by community members in Stage 2 data analysis
Feasibility	Requires use of expensive software	Requires accessibility to study sites	Requires use of cameras

# Comparisons of community engagement methods

A comparison of the roles assumed by community members and researchers in data collection and analysis activities in all three case studies is presented in Table 1. In all three studies, both groups were engaged in identification and assessment of implementation determinants and strategies. In all three studies, researchers contributed their knowledge of the methods employed to ensure scientific rigor in the collection and analysis of data. Community members contributed their knowledge of their respective communities to ensure the relevance of data collection and analysis, as well as of the data themselves, to community needs. Both researchers and community members acted as both teachers and learners, providing feedback to one another in an iterative fashion.

With respect to data collection, community members played an important role in identifying and recruiting potential participants and determining what data were to be collected in all three studies. Researchers shared responsibility for participant recruitment and training community members in data collection and analysis techniques. Community members also provided information about their communities while researchers received this information using structured and unstructured interview techniques.

Data analysis occurred in three stages. In the first stage, community members in the Concept Mapping case study participated in the pile sort and ranking activities, whereas pile sorting in the Photovoice study was conducted by researchers. Researchers generated the concept maps in the Concept Mapping case study while community members generated photographs and labels in the Photovoice study. In the second stage, researchers coded and conducted thematic analyses of these data, along with data obtained from interviews, in all three studies. In the third stage, community members were responsible for validation and expansion, also known as member checking, of results in all three case studies.

Table 2 provides a comparison of the strengths and weaknesses of community engagement in each case study. All three studies provided high internal validity by utilizing community member preferences to prioritize selection of feasible and acceptable implementation strategies (Concept Mapping study) and obtaining insight into implementation context and determinants (Rapid Ethnographic Assessment and Photovoice studies). All three studies made efforts to address the power dynamics that exist between researchers and community members. In the Concept Mapping study, researchers had control over what is collected and how it is analyzed, while community members had control over how the results were validated. In the Rapid Ethnographic Assessment and Photovoice studies, community members had

control over what was collected and how it was validated, while researchers had control over how it was analyzed. However, all three studies had limited external validity or generalizability due to their purposive sampling of study participants and limited geographic representation. The internal validity of the Rapid Ethnographic Assessment methodology was limited by the short timeframe in which data were collected, resulting in less depth of understanding than that afforded by use of traditional ethnographic methods [60]. Fidelity to the methodology was also challenged in all three studies by the absence of a brainstorming session in the Concept Mapping study, participant observation by community members in the Rapid Ethnographic Assessment Study, and community member participation in the Stage 2 coding and analysis of data due to budget limitations in the Photovoice study. The use of each method was also constrained by certain requirements such as the use of expensive software (Concept Mapping), accessibility to study sites (Rapid Ethnographic Assessment), and cameras to collect data (Photovoice).

In addition to their strengths and weaknesses, there are a few additional considerations when contemplating using these methods to engage community members in data collection and analysis. One consideration is the potential ethical issues involved in community members having access to identifiable human subjects data. Although such information was not collected in the Concept Mapping study, the participation of community members was subject to a review and approval by an Institutional Review Board in all three case studies. In the Photovoice study, community members were given explicit instructions on how to use an identifiable permission form should they wish to photograph another community member. Community members taking the photographs were also required to provide written informed consent. It is recommended, however, that community members undergo human subjects training prior to engaging in collection or analysis of identifiable information. Second, each of these methods requires a considerable time investment on the part of community members, although the method employed in the Rapid Ethnographic Assessment case study was explicitly designed to minimize participant burden. Community members in all three studies were offered some form of compensation (e.g., gift cards) in appreciation for their time. Innovations in methods designed to reduce participant burden and compensation of community members for their participation is recommended to facilitate community engagement.

# Discussion

CEnR recognizes and attempts to redress the imbalance of power in academic-community research partnerships [3, 4, 9]. Researchers typically control many of the resources to conduct research (access to funding, formal research skills). However, the power imbalance is typically addressed in the formulation of research questions and dissemination of research results. Co-creation usually involves community engagement in an intervention's development and implementation [79, 80]. It rarely involves community participation in data collection except as a source of data, and occasionally involves community participation in data analysis through member checking of data collected and analyzed by researchers. Research activities should ideally be used to correct this imbalance if it is to be more impactful [3, 4]. The process of data collection and analysis may also reflect this power imbalance.

The case studies highlighted the role of community members in identifying and recruiting study participants, in providing information related to the topic under investigation, in collecting that information (e.g., through taking photographs or acting as participant observers), in participating in the organization of that information in the first stage of the analysis process (e.g., in the concept mapping exercise), and in validating and interpreting the findings in the second stage of the analysis process. To increase their engagement in these data collection and stage one analysis activities, however, training of community members in data collection and analysis as part of all three methods is highly recommended.

The case studies also illustrate the use of two specific methods commonly found in community-engaged implementation research. First, all three studies included some form of member-checking for validating qualitative data in which results are presented to and reviewed by individuals who provided the data and/or individuals representing the communities from which the data were collected [81-84]. Second, all three studies used some form of Community Advisory Board (CAB) (or Leadership Council in the case of the PROUD-LA Study) to offer support, nominate participants, and provide leadership and oversight on the conduct of data collection and analysis [85–89]. CABs have participated in the development of focus group agendas [85] and interpretation of research findings [89, 90] in other implementation studies. However, CABs have generally been used as a source of data/information rather than assisting in data collection through their participation in semi-structured interviews [89] and meetings guided by the Delphi Technique meetings, an iterative approach for gaining group consensus on a topic [91, 92].

The three case studies also reflect the division of labor that occurs in community-engaged data collection and analysis. In the first two cases presented, academic researchers collected the data and community members participated in their analyses. In the Photovoice case study, the community collected the data, and researchers participated in the analysis of the data collected. Shared responsibility and ownership do not mean that everyone needs to do the same activities/tasks. Rather, effective CEnR involves the maximization of the unique strengths that researchers and community members bring the partnership. All three methods are dependent upon the intimate knowledge of the community gained from lived experience. Data are collected and analyzed in ways that reflect the relevance of the research focus to the community. However, both the lived experience and assessment of research relevance may vary among community members, necessitating the identification and engagement of multiple groups of community partners. Data collection and analysis are also dependent on the researcher's theoretical and methodological expertise, which can also vary, necessitating the identification and engagement of interdisciplinary teams of investigators. Data are collected and analyzed in ways that reflect both the rigor of scientific investigation and relevance to community needs [93].

Finally, all three case studies reflect the leveraging of implementation science to achieve health equity through neighborhood and policy interventions [18]. Each study targeted the delivery of an evidence-based program, policy or practice to a population experiencing health disparities (i.e., HPV vaccination of Latinx youth, medication for Opioid Use Disorders for Medicaid enrollees, and health promotion programs for adults with severe mental illness living in supportive housing). Reliance on members of these populations to collect and analyze data, however, occurred in only the Photovoice study. In all three studies, community participation in data collection and/or analysis led to a greater investment on the part of community members and organization to the success of implementation efforts. If equity is to be achieved, greater engagement of the intended beneficiaries of innovative and evidence-based policies, programs and practices is recommended.

# Limitations

The three case studies were not intended to be representative of all forms of data collection and analysis in community-engaged implementation research, or even the use of these three specific methods in such research. The HPV study, for instance, modified the first stage of the concept mapping process by presenting participants with a set of statements representing constructs previously elicited from semi-structured interviews and focus group discussions with the same participants. Concept mapping participants usually generate key topics for discussion and formulate statements during a brainstorming session in the first stage [33]. The community-based version of RAPICE in the PROUD-LA Study did not involve participant observation by community members. Previous research using the clinical version of RAPICE engaged participant observers who had dual roles as researchers and community members (in this case, as clinicians working in the study setting) [94, 95].

# **Conclusions**

Despite these limitations, the findings point to several key considerations that should be included in all forms of community-engaged implementation research. These considerations include the following: creation of community advisory boards or leadership councils to advise researchers on whom to recruit to participate, what information should be collected, and how it should be collected; use of sampling strategies that enable community members to assist in participant recruitment; training of community members in collecting information and conducting preliminary (stage one) analyses of the information collected; and systematic use of member-checking activities to enable community members to interpret and validate study findings. Taking these considerations into account is recommended to ensure that implementation research is community-engaged, has internal and external validity, empowers the community and its members, and engenders a commitment on the part of the community to successful implementation outcomes.

#### **Abbreviations**

CAB Community Advisory Board
CeNR Community Engaged Research

CEDI Community Engaged Implementation Research

C-LEARN Community Resilience Learning Collaborative and Research

Network

HPV Human Papillomavirus
EBS Evidence-based Strategies
FQHC Federally Qualified Health Center
MOUD Medication for Opioid Use Disorders

PCP Primary care provider

PROUD-LA Promoting Resilience to Opioid Use Disorders in Louisiana

RAP Rapid Assessment Procedures

RAPICE Rapid Assessment Procedures-Informed Community

Ethnography

SMI Severe Mental Illness

# Authors' contributions

LAP, JT, and BFC contributed to the conception and design of the study. LAP, JT, BS, LC, and BFC contributed to the analysis of the data. LAP wrote the first draft. JT, BFC, BS, LC, MS, and SG contributed to the interpretation of data analysis, manuscript revisions, and read and approved the final manuscript.

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#### Data availability

The study materials and data analyzed for this study are available from the corresponding author on reasonable request.

# **Declarations**

#### Ethics approval and consent to participate

This study was approved by the University of Southern California's Institutional Review Board (Protocol # UP-20–00541) under exempt status.

#### Consent for publication

We consent to publication.

#### Competing interests

The authors declare that they have no competing interests.

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